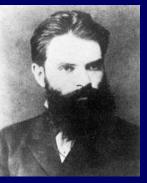
L₁ Adaptive Control and Its Transition to Practice

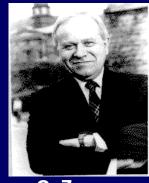
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A. M. Lyapunov 1857-1918





G. Zames 1934-1997

Outline

Historical Overview

- V&V Challenge of Adaptive Control
- Certification of Advanced FCS
- Speed of Adaptation, Performance, Robustness
- Separation between Adaptation and Robustness
- Overview of Aerospace Applications
- Flight Tests of Piloted Aircraft
- Conclusions, summary and future work

Motivation

Early 1950s – design of autopilots operating at a wide range of altitudes and speeds

- → Fixed gain controller did not suffice for all conditions
 - → Gain scheduling for various conditions
- → Several schemes for self-adjustment of controller parameters
 - → Sensitivity rule, MIT rule
- → 1958, R. Kalman, self-tuning controller
 - Optimal LQR with explicit identification of parameters
- * 1950-1960 flight tests X-15 (NASA, USAF, US Navy)

➔ bridge the gap between manned flight in the atmosphere and space flight

- → Mach 4 6, at altitudes above 30,500 meters (100,000 feet)
- → 199 flights beginning June 8, 1959 and ending October 24, 1968
- → November 15, 1967, X-15A-3

First Flight Test in 1967

The Crash of the X-15A-3 (November 15, 1967)



X-15A-3 on its B52 mothership



X-15A-3

Crash due to stable, albeit nonrobust adaptive controller!



"Brave Era", a la K. Astrom, 1985

Crash site of X-15A-3

Historical Background

- Sensitivity Method, MIT Rule, Limited Stability Analysis (1960s)
 Whitaker, Kalman, Parks, et al.
- Lyapunov based, Passivity based (1970s)
 - \Rightarrow Morse, Narendra, Landau, et al.
- Global Stability proofs (1970-1980s)
 - ⇒ Astrom, Morse, Narendra, Landau, Goodwin, Keisselmeier, Anderson, et al.
- Robustness issues, instability (early 1980s)
 - ⇒ Rohrs, Valavani, Athans, Marino, Tomei, Egard, Ioannou, Anderson, Sastry, et al.
- Robust Adaptive Control (1980s)
 - ⇒ Ioannou, Sun, Praly, Jiang, Tsakalis, Sun, Tao, Datta, Middleton, Basar, et al.
- Nonlinear Adaptive Control (1990s)
 - Adaptive Backstepping, Neuro, Fuzzy Adaptive Control
 - ⇒ Krstic, Kanelakopoulos, Kokotovic, Bernstein, Ioannou, Lewis, Farrell,
 Polycarpou, Kosmatopoulos, Xu, Wang, Christodoulou, Rovithakis, et al.
- Search methods, multiple models, switching techniques (1990s)
 - ⇒ Morse, Martenson, Miller, Barmish, Narendra, Anderson, Safonov, Hespanha, et al

Landmark Achievement: Adaptive Control in Transition

Air Force programs: RESTORE (X-36 unstable tailless aircraft 1997), JDAM (late 1990s, early 2000s)
 Demonstrated that there is no need for wind tunnel testing for determination of aerodynamic coefficients

 (an estimate for the wind tunnel tests is <u>8-10mln</u> dollars at Boeing)





Lessons Learned: limited to slowly-varying uncertainties, lack of transient characterization
➢ Fast adaptation leads to <u>high-frequency oscillations</u> in control signal, reduces the tolerance to time-delay in input/output channels
➢ Determination of the "best rate of adaptation" heavily

relies on "expensive" Monte-Carlo runs



Boeing question: How fast to adapt to be robust?

L₁ Adaptive Control

Main features:

- guaranteed fast adaptation
- decoupling between adaptation and robustness
- > guaranteed transient performance
 - NOT achieved via persistency of excitation, control reconfiguration or gain-scheduling!
- guaranteed time-delay margin

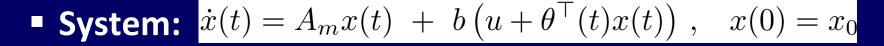
> performance limitations reduced to hardware limitations

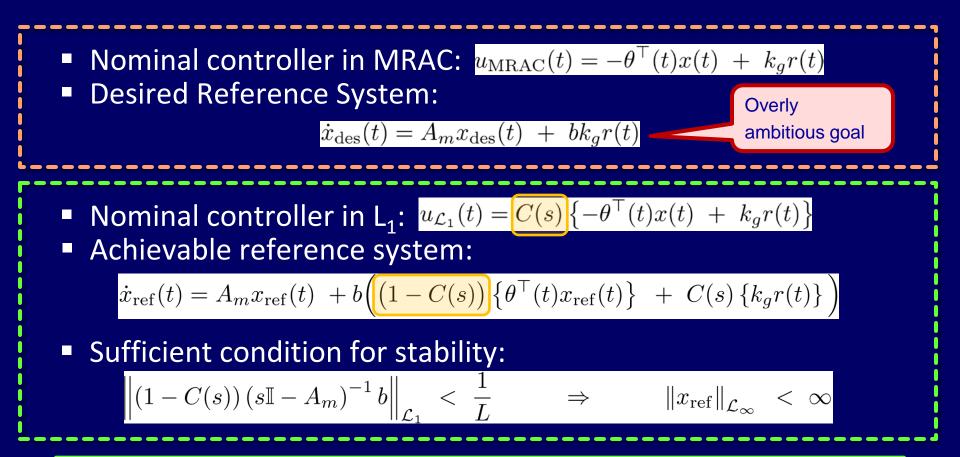
> uniform scaled transient response dependent on changes in

- initial condition
- value of the unknown parameter
- reference input

Suitable for development of theoretically justified Verification & Validation tools for feedback systems

Key feature – feasibility of the control objective





Result: Decoupling of identification from control leads to guaranteed robustness in the presence of fast adaptation!

Red Flags Raised in Literature

The notion of having a flag in an adaptive control algorithm to indicate the inappropriateness of an originally posed objective is practically important, and missing from older adaptive control literature. Logic really demands it. If a plant is initially unknown or only partially unknown, a designer may not know a priori that a proposed design objective is or is not practically obtainable for the plant.

"...It is clear that the identification time scale needs to be faster than the plant variation time scale, else identification cannot keep up. It also turns out that it is harder to develop good adaptive controllers, which identify (and thus adjust the controllers) at a time scale comparable with that of the closed--loop dynamics. Interaction of the two processes can occur and generate instability."

Brian Anderson, "Failures of Adaptive Control Theory", COMMUNICATIONS IN INFORMATION AND SYSTEMS, Vol. 5, No. 1, pp. 1-20, 2005 • Dedicated to Prof. Thomas Kailath on his 70th Birthday

- 1. Fekri, Athans, and Pascoal, "Issues, Progress and New Results in Robust Adaptive Control", International Journal on Adaptive Control and Signal Processing, March 2006
- 2. B. Anderson, Challenges of adaptive control: past, permanent and future, Annual Reviews in Control, pages 123-125, December, 2008

Direct and Indirect Methods of Adaptive Control

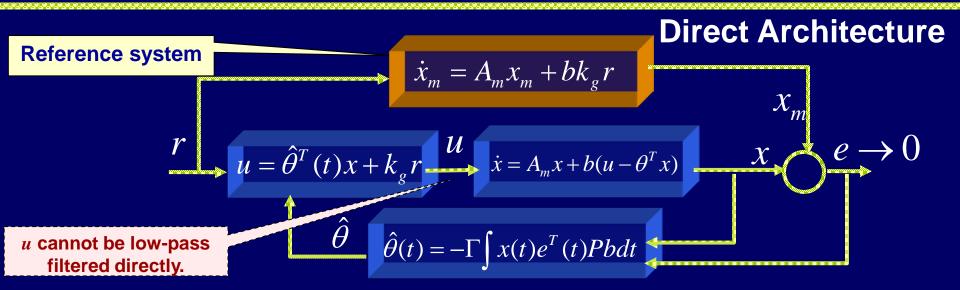
Direct Method:

- Estimate the controller parameters
- The <u>stable</u> error dynamics and adaptive laws are derived using the structure of the control signal
- Asymptotic convergence of tracking error is concluded from Barbalat's lemma

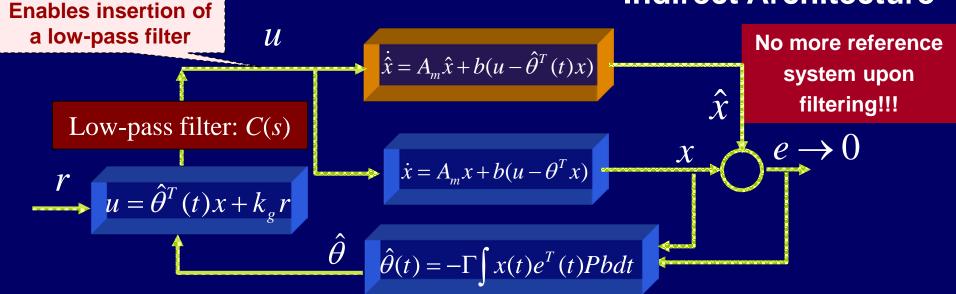
Indirect Method:

- Estimate the system parameters
- The <u>stable</u> error dynamics and adaptive laws are derived <u>independent</u> of the control signal
- The control signal is synthesized using the estimated parameters
- Asymptotic convergence of tracking error is concluded from Barbalat's lemma

Implementation Differences



Indirect Architecture



Stability and Asymptotic Convergence

$$u = \hat{\theta}^{T}(t)x + k_{g}r$$

$$\hat{\theta} = -\Gamma \int x(t)e^{T}(t)Pbdt$$

$$\hat{x} = A_{m}\hat{x} + b(u - \hat{\theta}^{T}(t)x)$$

$$\hat{x} = A_{m}x + b(u - \theta^{T}x)$$

 $\succ \text{Closed-loop} \quad s\hat{x}(s) = A_m \hat{x}(s) + b \Big((C(s) - 1) \{ \hat{\theta}^T(t) x(t) \} + C(s) k_g r \Big)$

$$\succ \text{Solving: } \hat{x}(s) = \underbrace{(sI - A_m)^{-1} b}_{H_o(s)} \left\{ (C(s) - 1) \left\{ \widehat{\theta}^T(t) (\hat{x}(t) + e(t)) \right\} + C(s) k_g r \right\} \\ \leftarrow bounded$$

Sufficient condition for stability via small-gain theorem

$$\left\| \left(1 - C(s)\right) \left(sI - A_m\right)^{-1} b \right\|_{L_1} \Theta_{\max} \le 1$$

Barbalat's lemma

Guaranteed Adaptation Bounds: SCALING

System state:
$$\left\| x - x_{ref} \right\|_{L_{\infty}} \le \frac{\gamma_1}{\sqrt{\Gamma}}$$
 $\left\| \lim_{\Gamma \to \infty} \left\| x - x_{ref} \right\|_{L_{\infty}} = 0$

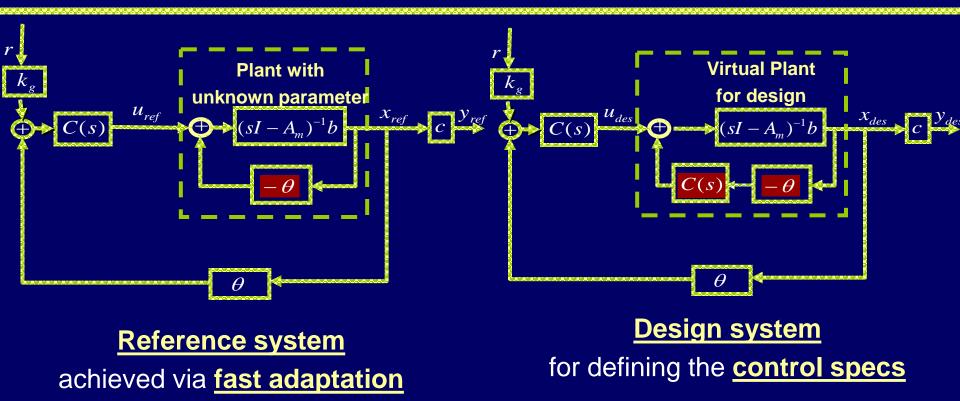
$$\succ \text{ Control signal: } \left\| u - u_{ref} \right\|_{L_{\infty}} \leq \frac{\gamma_2}{\sqrt{\Gamma}} \quad \blacksquare \quad \left\| \lim_{\Gamma \to \infty} \left\| u - u_{ref} \right\|_{L_{\infty}} = 0 \quad \blacksquare$$

$$\gamma_2 = \|C(s)\frac{1}{c_o^{\top}(sI - A_m)^{-1}b}c_o^{\top}\|_{\mathcal{L}_1}\sqrt{\frac{\bar{\theta}_{\max}}{\lambda_{\max}(P)}} + \|C(s)\theta^{\top}\|_{\mathcal{L}_1}\gamma_1 < \infty$$

$$C(s) = 1 \text{ (MRAC)} \implies \gamma_2 \to \infty$$

Remark. Non-zero trajectory initialization errors lead to additional additive exponentially decaying terms in the performance bounds.

LTI System for Control Specifications



$$y_{ref}(s) = c^T \left(I - (C(s) - 1)(sI - A_m)^{-1}b\theta^T \right)^{-1} (sI - A_m)^{-1}bC(s)k_g r(s)$$

 $y_{des}(s) = c^T (sI - A_m)^{-1} bC(s) k_g r(s)$ Independent of the unknown parameter

Guaranteed Robustness Bounds

$$\left\| y_{ref} - y_{des} \right\|_{L_{\infty}} \leq \frac{\lambda}{1 - \lambda} \left\| c^{T} \right\|_{L_{1}} \left\| k_{g} H_{o}(s) C(s) \right\|_{L_{1}} \left\| r \right\|_{L_{\infty}}$$

$$\left\| u_{ref} - u_{des} \right\|_{L_{\infty}} \leq \frac{\lambda}{1 - \lambda} \left\| C(s) \theta^{T} \right\|_{L_{1}} \left\| k_{g} H_{o}(s) C(s) \right\|_{L_{1}} \left\| r \right\|_{L_{\infty}}$$

Sufficient condition for stability

$$\lambda = \left\| \left(1 - C(s) \right) H_o(s) \right\|_{L_1} \Theta_{\max} < 1$$

> Performance improvement $\lambda \rightarrow \min$

Guaranteed (Uniform and Decoupled) Performance Bounds

✓ Use large adaptive gain $\int_{c} \mathbf{F}_{c} = y(t) - y_{ref}(t) = O\left(\frac{1}{\sqrt{\Gamma_{c}}}\right), \quad u(t) - u_{ref}(t) = O\left(\frac{1}{\sqrt{\Gamma_{c}}}\right), \quad \forall t \ge 0$

► Design C(s) to render $\lambda = \|(1 - C(s))H_o(s)\|_{L_1}\Theta_{\max}$ sufficiently small

$$\Rightarrow y_{ref}(t) - y_{des}(t) = O(\lambda), \quad u_{ref}(t) - u_{des}(t) = O(\lambda), \quad \forall t \ge 0$$

Decoupling of adaptation from robustness

$$y(t) - y_{des}(t) = O(\lambda) + O\left(\frac{1}{\sqrt{\Gamma_c}}\right), \quad u(t) - u_{des}(t) = O(\lambda) + O\left(\frac{1}{\sqrt{\Gamma_c}}\right), \quad \forall t \ge 0$$

Large adaptive gain \longrightarrow Smaller step-size \longrightarrow Faster CPU The sensor and control sampling can be done at a low rate.

Main Result

If $\|(1-C(s))H_o(s)\|_{L_1}\Theta_{\max} < 1$, then the L_1 adaptive controller ensures uniform transient and steady-state performance bounds

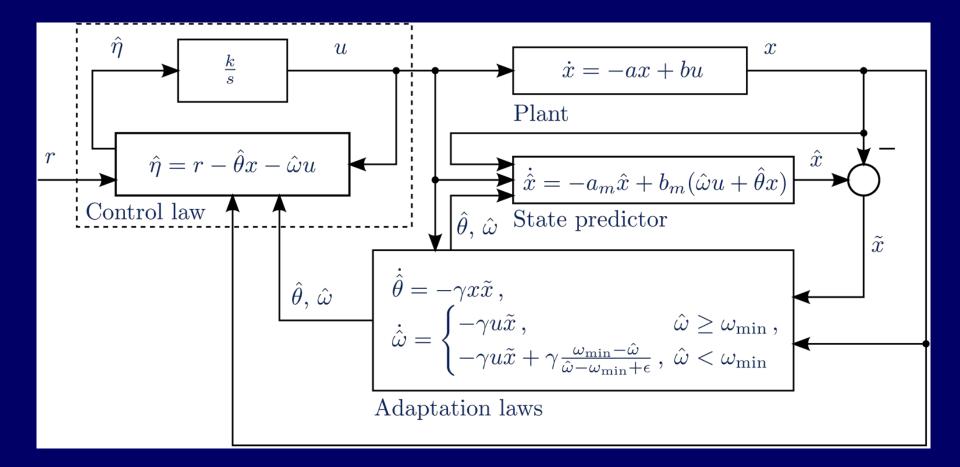
$$\left| u(t) - u_{ref}(t) \right|_{L_{\infty}} \approx O\left(\frac{1}{\sqrt{\Gamma}}\right); \quad \left\| x(t) - x_{ref}(t) \right\|_{L_{\infty}} \approx O\left(\frac{1}{\sqrt{\Gamma}}\right).$$

Moreover, there exists Γ_0 , such that if $\Gamma > \Gamma_0$, the time-delay margin is guaranteed to stay bounded away from zero

$$T_{\text{margin}} \ge T_m > 0,$$

where T_m is the time-delay margin of $H(s) = \frac{C(s)(1 + \theta^T \overline{H}(s))}{1 - C(s)}$. The gain margin can be arbitrarily improved by increasing the domain of projection.

L₁ Adaptive Control in the Presence of Unknown Input Gain



Steady state:

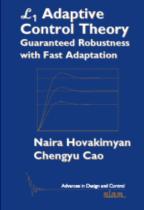
$$\hat{\eta}(t) = r(t) - \hat{\theta}(t)x(t) - \hat{\omega}(t)u(t) = 0$$

$$u(t) = -\frac{\hat{\theta}(t)}{\hat{\omega}(t)}x(t) + \frac{1}{\hat{\omega}(t)}r(t)$$

Recovers indirect MRAC control law

Extensions of the Theory

- State-Feedback:
 - L₁ Adaptive Control for Systems with TV Parametric Uncertainty and TV Disturbances
 - L₁ Adaptive Control for Systems with Unknown System Input Gain
 - L₁ Adaptive Control for a class of Systems with Unknown Nonlinearities
 - L₁ Adaptive Control for Nonlinear Systems in the presence of Unmodeled Dynamics
 - L₁ Adaptive Control for Systems in the presence of Unmodeled Actuator Dynamics
 - L₁ Adaptive Control for Time-Varying Reference Systems
 - L₁ Adaptive Control for Nonlinear Strict Feedback Systems in the presence of Unmodeled Dynamics
 - L₁ Adaptive Control for Systems with Hysteresis
 - L₁ Adaptive Control for a Class of Systems with Unknown Nonaffine-in-Control Nonlinearities
 - L₁ Adaptive Control for MIMO Systems in the Presence of Unmatched Nonlinear Uncertainties
 - L₁ Adaptive Control in the Presence of Input Quantization
 - L₁ Adaptive Control of Event-triggered Networked Systems
- Output-Feedback:
 - L₁ Adaptive Output-Feedback Control for Systems of Unknown Dimension (SPR ref. system)
 - L₁ Adaptive Output-Feedback Control for Non-Strictly Positive Real Reference Systems
 - L₁ Adaptive Control of



Aerospace Applications

drogue tanker aircraft receiver aircraft 7 hose probe







NASA Dryden Flight Research Center Photo Collection http://www.dfrc.nasa.gov/Gallery/Photo/index.html NASA Photo: ED02–0295–5 Date: December 19, 2002 Photo By: Jim Ross

The first X-45A technology demonstrator completed its sixth flight on Dec. 19, 2002, raising its landing gear in flight for the first time.



NASA Dryden Flight Research Center Photo Collection http://www.dfrc.nasa.gov/galiery/photo/index.html NASA Photo: EC87-0182 Date: July 24, 1987 Photo by: NASA X-29 in Banked Flight







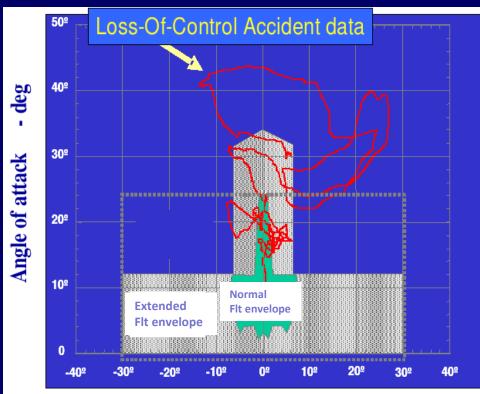


Integrated Resilient Aircraft Control (IRAC)

IRAC research is focused on loss-of-control, failure and damage scenarios, and their mitigation though the application of adaptive control.

Control law objectives:

- Keep aircraft in the Extended flight envelope
- Return to Normal Flight Envelope
- Control actions within 2-4 seconds of failure onset are critical:
 - Need for transient performance guarantees
 - Predictable response
 - Need for fast adaptation



Angle of sideslip - deg

Generic Transport Model

High-risk flight conditions, some unable to be tested in target application environment.



- 5.5 % geometrically and dynamically scaled model
 - 82in wingspan, 96 in length, 49.6 lbs (54 lbs full), 53 mph stall speed
 - Model angular response is 4.26 <u>faster</u> than full scale
 - Model velocity is 4.26 times <u>slower</u> than regular scale

Flight Test Setup : MOS



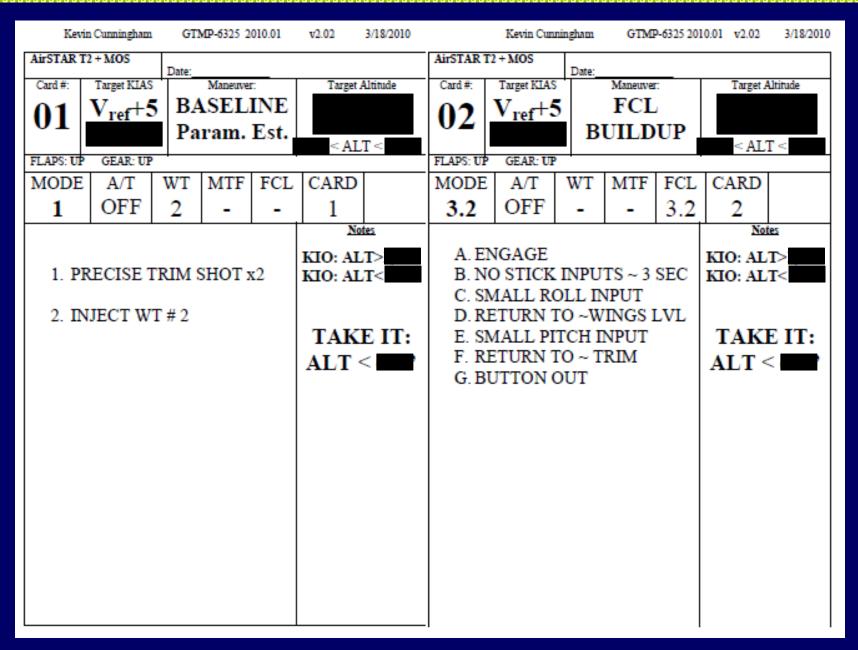








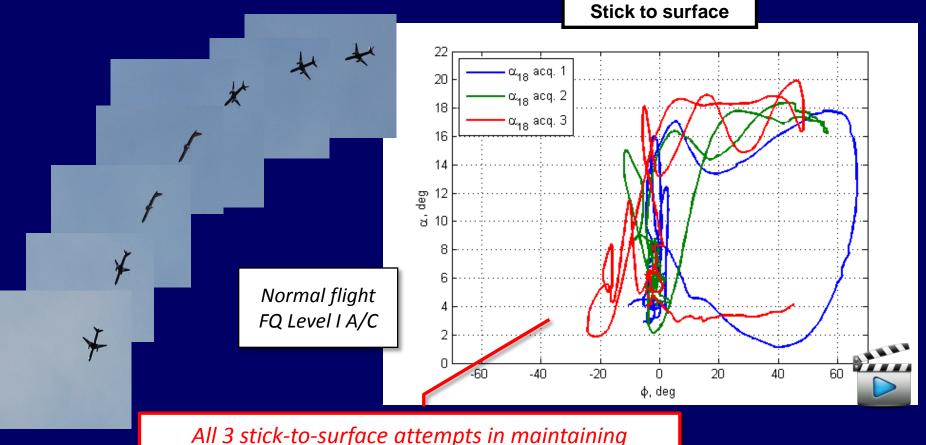
Flight Test Cards



GTM T2 :: Flight Test Evaluation (June 2010)

Post-stall, high angle of attack flight

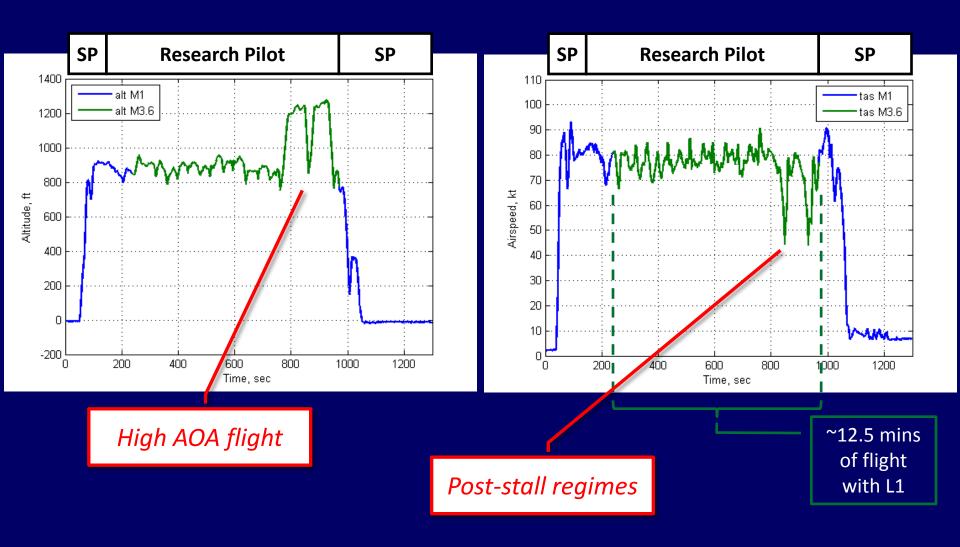
 Open-loop aircraft tends to aggressively roll off between 13deg and 15deg AOA and exhibits significant degradation in pitch stability



All 3 stick-to-surface attempts in maintaining controller flight at AOA=18deg were **unsuccessful**

GTM T2 :: Flight Test Evaluation in Post-Stall

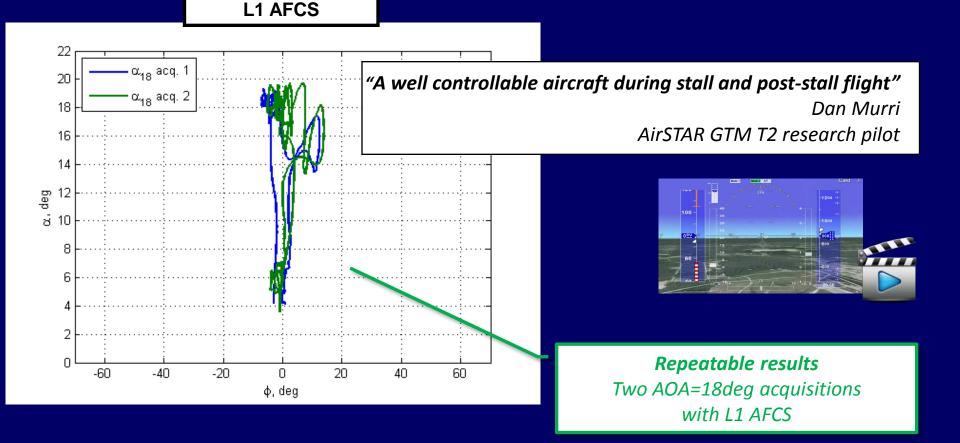
> FLT23: Mode 3.6 (L1 all-adaptive) FCL under light turbulence



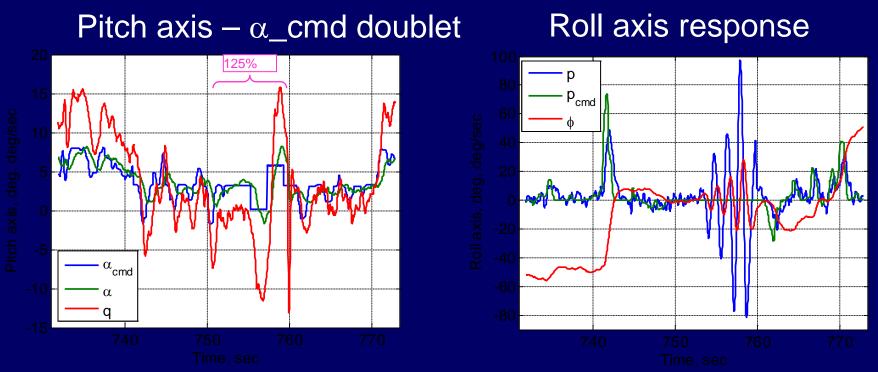
GTM T2 :: Repeatable Results in Post-Stall Flight

Post-stall, high angle of attack flight

- L1 provides departure resilient control for aircraft in post-stall flight
 - ✓ L1 adaptive controller achieved a very well controlled aircraft (pilot assessment)



125%Cmα/Clp Degradation WT Response (June 2010)

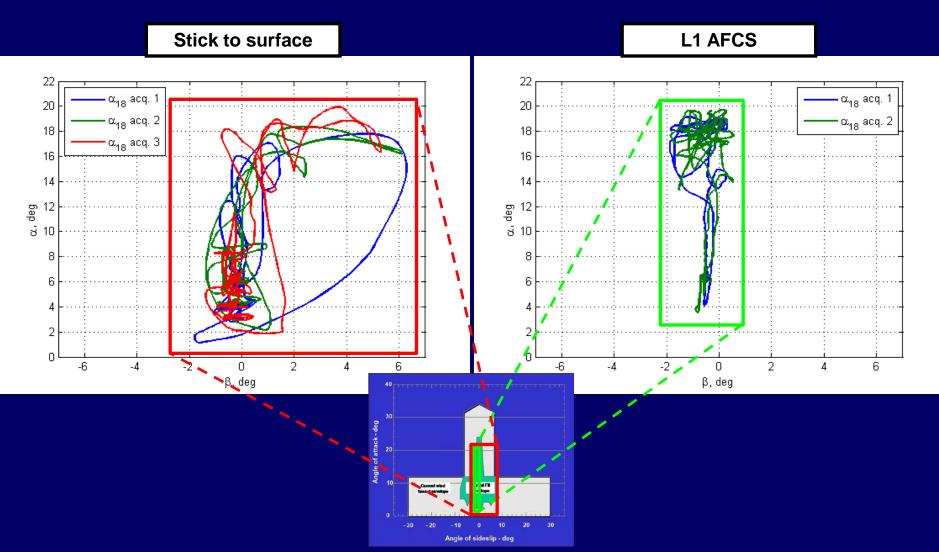


- Pilot called "knock it off", but did not abandon the control law
- Test engineer simply flipped the switch to turn off the stability degradation fault, and the controller recovered its nominal performance immediately.
- The pilot proceeded to fly into a typical aggressive turn less than 10 seconds after the fault was terminated, **without any corrective action** (~ 770 seconds)
- The design was done for 147msec time-delay margin, some of which can be traded off for performance recovery (flight test planned for September 2010)

GTM T2 :: Summary of Flight Test Evaluation (June 2010)

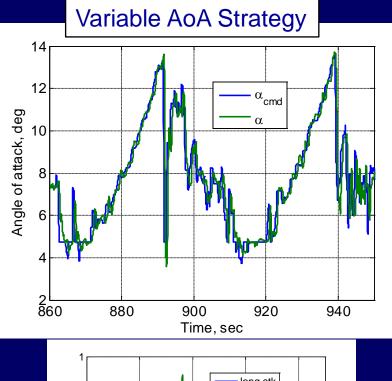
Post-stall, high angle of attack flight

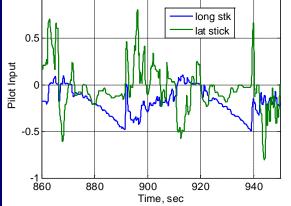
• L1 provides departure resilient control for aircraft in post-stall flight

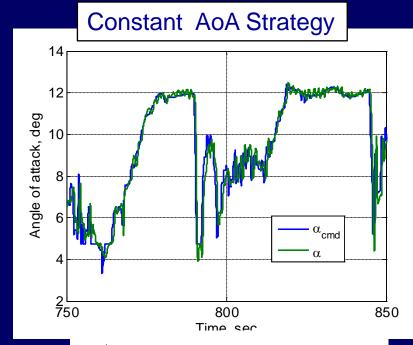


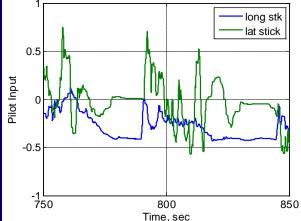
GTM T2 :: Flight Test Evaluation (September 2010)

Angle of Attack Vane Calibration: Stall occurs between 12 and 13 degrees



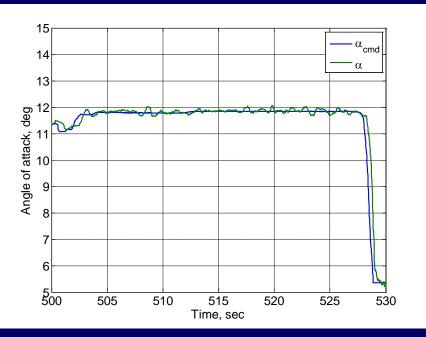




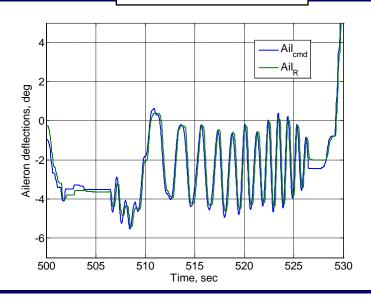


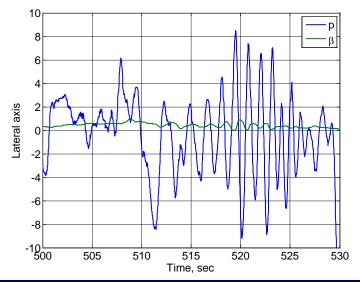
Unsteady Aerodynamic Modeling

- Roll forced oscillations at α =12 :
 - Precise tracking of α =12
 - L1 longitudinal
 - Allow free β response to roll wavetrain
 - Step doublet, Schroeder sweep, variable frequency Sinusoid



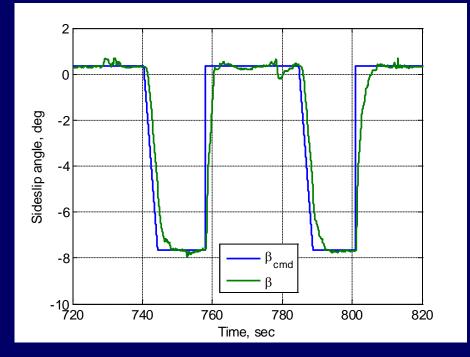
Schroeder Input

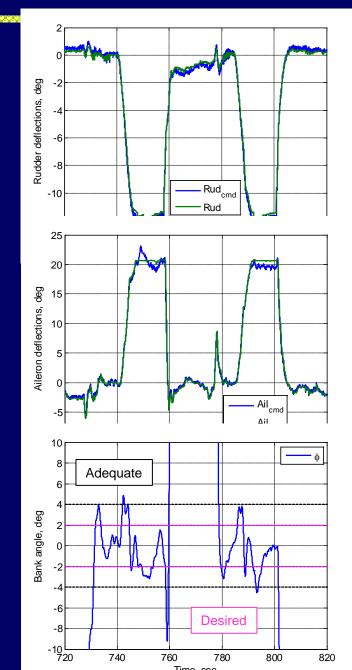




Sideslip Angle Vane Calibration (September 2010)

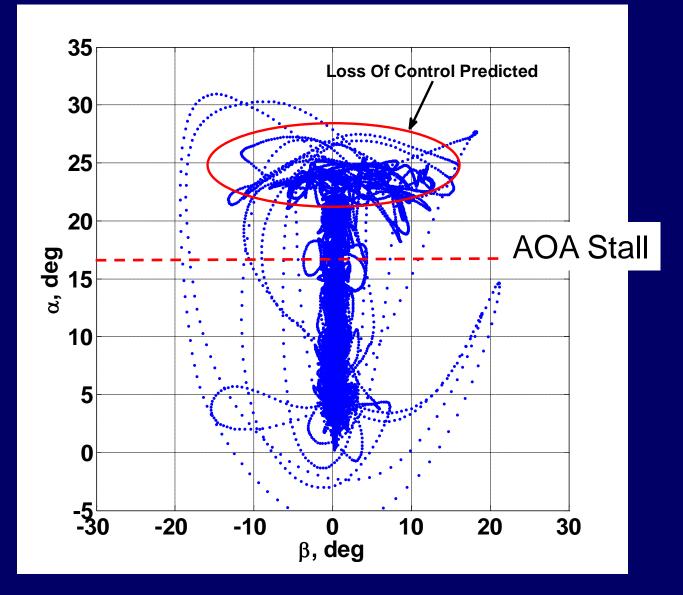
Flat turn – hold target sideslip
 Minimize lateral axis excursions





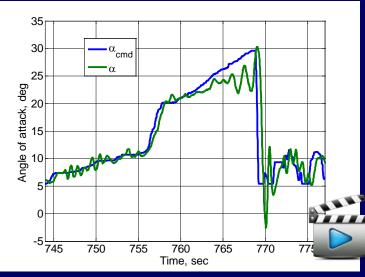
L₁ Supports Large Flight Envelope Modeling

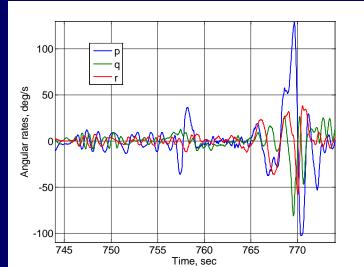
FLIGHTS 54, 55, 58

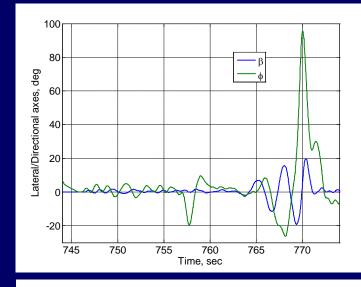


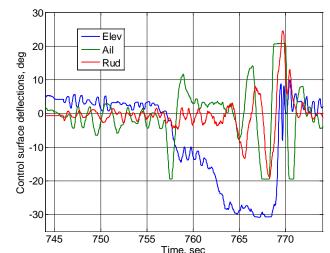
AOA Pull Through Stall and Departure

- Flight 58 active wavetrain through stall, departure and recovery, L1 adaptive control law in the feedback loop
- Reached departure conditions; aircraft not fully controllable









Offset Landings (High Workload Tasks)

Initial offset:

> 90 ft. lateral, 1800 ft. downrange, 100 ft. above the runway

Performance boundaries:

- \blacktriangleright <u>Desired:</u> $|\phi| < 10 \text{ deg}; |\gamma| < 1 \text{ deg}; \text{ landing box} = 164' \times 12'$
- Adequate: $|\phi| < 20 \text{ deg}$; $|\gamma| < 3 \text{ deg}$; $|anding box = 363' \times 24'$
- Flying qualities ratings taken for nominal, neutrally stable, unstable airplane

	S2S	L1 AFCS
Nominal	<i>CHR</i> 4 (HQ L2)	CHR3 (HQ L1)
Neutral Stability	CHR10 (uncontrollable)	<i>CHR</i> 5 (HQ L2)
Unstable		<i>CHR</i> 7 (HQ L3)





GTM T2 :: Summary of Flight Test Evaluation (NASA)

- All-adaptive FCS that takes care of large changes in aircraft dynamics
 ✓ No baseline to assist
- A single controller design at a <u>nominal flight condition</u> (80KEAS, 4 deg AOA) to provide satisfactory FQ and robustness for the <u>entire large envelope</u>, flown to the corners of flight envelope, α≈ 28+ deg, β= |8| (this was the ONLY controller cleared for High AoA flight)
 - ✓ No gain scheduling of control parameters
- Predictable response to the pilot under stability degradation and graceful performance degradation once nominal response was unachievable
- Departure resistant in post-stall flight: L1 provides a controllable aircraft to the pilot and facilitates safe return to normal flight
- Aerodynamic modeling in highly nonlinear regimes and real-time dynamic modeling of the departure-prone edges of the flight envelope
 - Modeling of unsteady aerodynamics at stall
- The **post-stall aerodynamic test envelope** was expanded to **28° angle of attack**
- L₁ controller enabled operation near stall and departure for longer periods of time, which allowed collection of data for a wide range of flight conditions, including low angle of attack, moderate angle of attack, stall, departure and recovery, with a single maneuver.

What's next at NASA: iReCoVeR

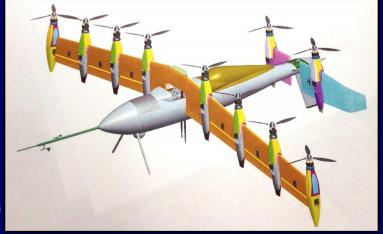


NASA: Unconventional Aircraft Configurations

55lb Greased Lightning VTOL UAV -- ≈6 ft in length and ≈10 ft in wingspan -- 10 motors, 9 surfaces, 2 tilt mechanisms -- 3 phases of flight •Hover •*Transition* •Forward flight

- Commercial off-the-shelf UAV ✓ ~103lb weight, ~12.5 ft wingspan
- ✓ Single rear-facing propeller
- \checkmark 6 control surfaces

- ✓ 2 Ailerons
- ✓2 Flaps
- ✓ 2 Ruddervators





GL10

Other Craft in Europe



DA-42 (TUM)



Generic helicopter model



Hexarotor (UMD)



Generic Missile Model



Gripen-like fighter (SAAB)



Cessna Citation II (TUD)



Quad (TUM)



Quad (viacopter)

L₁ in Other Application Domains

- L₁ control of hard disk drives (Seagate, USA)
- L₁ control of boats (Raymarine, UK)
- L₁ control of pumps (Caterpillar, USA)
- L₁ control of drilling pressure (StatOil, Norway)
- L₁ control of rotary steerable system (Schlumberger, England)
- L₁ control of fiberoptics (Cedric Langbort, UIUC)
- L₁ control of biological networks (Vishwesh Kulkarni, UMN)
- L₁ control of anesthesia (Carolyn Beck, UIUC)
- L₁ control of bioassistive devices (Harry Dankowicz, UIUC, jointly with CU Aerospace)
- L₁ control of smart materials with hysterisis (Ralph Smith, NCSU)
- L₁ control of nuclear power plants (Asok Ray, PenState)
- L₁ control for iterative learning framework (Kira Barton, UMich)
- L₁ control for time-critical ISR missions (Isaac Kaminer, NPS)
- L₁ control of DA-42 aircraft (TU of Munich, Germany)
- L₁ control of Cessna aircraft in SIMONA (TU of Delft, The Netherlands)
- L₁ control of engines (Chengyu Cao, UConn, P&W, UTRC)
- L₁ control of micro UAVs (Randy Beard, BYU)
- L₁ control of rotorcraft (Jon How, MIT)

Conclusions

What do we need to know?

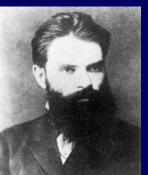
Boundaries of uncertainties sets the filter bandwidth
 CPU (hardware) sets the adaptive gain

Performance limitations reduced to hardware limitations

Decoupling of estimation from control

- estimation loop <u>free</u> of uncertainties
- performance can be predicted <u>a priori</u>
- robustness/stability margins can be quantified analytically
- performance scales similar to linear systems

Theoretically justified Verification & Validation tools for feedback systems at reduced costs







Acknowledgments

My group:

- □ Enric Xargay (Ph.D. student AE)
- □ Zhiyuan Li (Ph.D. student ME)
- Evgeny Kharisov (Ph.D. student AE)
- □ Hui Sun (Ph.D. student ECE)
- □ Ronald Choe (Ph.D. student AE)
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More information can be found...

http://naira.mechse.illinois.edu

http://www.unmanned-dynamics.com/

http://www.youtube.com/user/nhovakimgroup



"In theory there is no difference between theory and practice. In practice there is." – Yogi Berra (1925)